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17			
TRANSMITTAL	Application Number	10/700,721	
	Filing Date	11/04/2003	
FORM	First Named Inventor	Hideki Seki, et al.	
(to be used for all correspondence after initial filing)	Art Unit	3753	
	Examiner Name	John K. Ford	
tal Number of Pages in This Submission	Attorney Docket Number	4041J-000804	

					
		ENCLOSU	RES (check all that apply)		
Fee Transmittal Form		☐ Drawing(s)		After Allowance Communication to Technology Center (TC)	
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment / Re	ply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final			Convert to a I Application	Proprietary Information	
Affidavits/dec	claration(s)		ttorney, Revocation Correspondence Address	Status Letter	
Extension of Time	e Request	Terminal D	isclaimer	Other Enclosure(s) (please identify below):	
Express Abandonment Request		Request for Refund CD, Number of CD(s)		Form 1449; Copy of Cited References; and, This Return Receipt.	
Information Discl	osure Statement				
Certified Copy of Priority Document(s)		Remarks	fees that may be requi	hereby authorized to charge any additional ired under 37 CFR 1.16 or 1.17 to Deposit A duplicate copy of this sheet is enclosed.	
Response to Miss Incomplete Applic			7.000dHt 110. 00-0100.	A duplicate copy of this sheet is enclosed.	
Response to Parts under 3 1.52 or 1.53					
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Harness, Dickey & Individual name			Attorney Name Michael J. Schmidt	Reg. No. 34,007	
Signature			111		
Date	December 27, 2006				
		EDTIFICATE C	DE TRANSMISSION/MAIL	IN C	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Michael J. Schmidt		Express Mail Label No.	EV 757 778 613 US (12/27/2006)
Signature	me	Tour	Date	December 27, 2006

This collection of information is required by JEFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is giverned by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

2 7 2006 UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/700,721

Filing Date:

11/04/2003

Applicant:

Hideki Seki, et al.

Group Art Unit:

3753

Examiner:

John K. Ford

Title:

VEHICLE AIR CONDITIONER

Attorney Docket:

4041J-000804

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. <u>COPIES</u>

A. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) each unpublished U.S. application listed below in Section IV (i.e., including the specification, claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims directed to that portion), except for such applications filed on or after June 30, 2003, pursuant to the Waiver of the Copy Requirement in 37 C.F.R. 1.98 (OG Notice dated October 19, 2004); and (iv) all other information or that portion which caused it to be listed.

	B. Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:
	U.S. Serial Number U.S. Filing Date
	C. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search report are listed on the attached Form 1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are
	believed to be in the file of the above-identified application. (MPEP 1893.03(g).)
III.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
	A. Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
	B. \(\simega\) A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
	1. See the attached foreign patent office communication from a counterpart foreign application:
	2. English abstracts/translations are provided.
	3. ☑ Other: Search Report in the corresponding FR application number 03 12949 dated November 29, 2006.
	C. The following additional information is provided for the Examiner's consideration.

IV.	CROSS REFERENCE	TO RELATED APPLICA	ATION(S)	
	contain(s) subject ma bringing this(these) a	atter that may be relate	llowing co-pending applic d to the present applicati liner's attention, Applicant 35 U.S.C. § 122.	on. Bý
	<u>Serial No.</u>	<u>Filing Date</u>	Inventor(s)	
V.	THIS IDS IS BEING F	ILED UNDER		
	A. 🗌 37 C.F.R. § 1.97	(b): (check <u>only</u> one box)	
	than a continu		ate of a national application under § 1.53(d) (37 Cuired.	
		in an international applic	entry of the national stage ation (37 C.F.R. § 1.97(b)(
	1.97(b)(3)). No Office Action o under 37 C.F.F 1.97(e) below;	o fee or certification is r in the merits has been R. § 1.97(c) and see th or, if no certification has	Action on the merits (37 () equired. In the event that issued, please consider to e certification under 37 () s been made, charge our as required by 37 C.F.R. §	it a first his IDS C.F.R. § deposit
	4. Defore the for continued exist required.	e mailing of a first Office camination under 37 C.F.	Action after the filing of a R. § 1.114. No fee or cert	request ification
	B. 🛛 37 C.F.R. § 1.97	(c): (check only one box		
	before the maili 1.113, a Notice otherwise close	of Allowance under 37	al Office Action under 37 (C.F.R. § 1.311, or an act	C.F.R. § ion that
	1. No certific by 37 C.F.R. §		the amount of \$180.00 is r	equired
	2 M See the co	artification below. No fee	is required	

	C. 37 C.F.R. § 1.97(d):
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
	1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The undersigned hereby certifies that:
	A. \boxtimes each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or
	B. no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 C.F.R. 1.704(d)
	The undersigned hereby states that:
	⊠ each item of information contained in this IDS was cited in a communication

from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than

thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box, if applicable)

- A. A check in the amount of \$180.00 is enclosed for the above-identified fee.
- B. Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-identified fee. A duplicate copy of this paper is attached.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: December 27, 2006

Michael J. Schmidt

34.007

Harness, Dickey & Pierce, P.L.C. P.O. Box 828
Bloomfield Hills, Michigan 48303 (248) 641-1600

MJS/pmg



HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

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ATTORNEY DOCKET NO. SERIAL NO.			
4041J-000804	10/700,721		
APPLICANT			
Hideki Seki, et al.			
FILING DATE	GROUP		
11/04/2003 3753			

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.		FR 2 762 888 A1	11/1998	FR		Abstract	
2.		FR 2 778 151 A1	11/1999	FR		Abstract	
3.		FR 2 778 148 A1	11/1999	FR		Abstract	
4.		EP 1 247 668 A2	10/2002	EP			
5.		JP 2004-082845	03/2004	JP		X	

OTHE	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials				
1.		Search Report in the corresponding FR application number 03 12949 dated November 29, 2006.			

Examiner:	Date Considered: